What is an example of an input to a C compiler that would cause a lexical analysis error?

What is an example of an input to a C compiler that would cause a syntactic analysis error?

# Administriva <br> Housekeeping 

## Project 1

- Out tonight
- Add Flex rules for our language
- Might want to find a project partner!


## What should we call our language?

# Surveys (Mostly) Processed <br> Housekeeping 

- We will do flipped Wednesdays
- I'll try to put out some 510 review


2 - Implementing Scanners

## Compiler Construction

Progress Pics

## Currently working on lexical analysis concepts




## Lecture Overview

Lecture 2 - Implementing Scanners

Walk through the translation process formally


# Key Concept <br> Thompson's Construction Algorithm 

Use an expression tree:

- Leaf: atomic operand
- Branch: operations joining subtrees


## Expression Tree Examples

## Arithmetic Expression

$$
1+2 \times 3+4
$$

Arithmetic Expression Tree


RegEx
$a \mid b^{*}$
Expression Tree

b

## Thompson's Construction Intuition

Thompson's Construction Algorithm

## Two-Step Process:

- Break the RegEx down to the simplest units with "obvious" FSMs (i.e. expression tree leaves)
- Combine the sub-FSMs according to operator rules (i.e. expression tree branch rules)



## Recombobulation Area

## Thompson's Construction Alg. <br> Build the RegEx Tree \| Replace nodes bottom-up



## Thompson's Construction Alg. <br> Build the RegEx Tree \| Replace nodes bottom-up



## Thompson's Construction Alg. <br> Build the RegEx Tree Replace nodes bottom-up

Alternation:

- New start state with $\varepsilon$-trans to old starts
- New final state with $\varepsilon$-trans from old finals
$(\mathrm{a} \mid \varepsilon)(\mathrm{c}|\mathrm{d}| \mathrm{b})^{*}$


## concat


$(c|d| b)^{*}$


## Thompson's Construction Alg. <br> Build the RegEx Tree Replace nodes bottom-up

## Alternation:

- New start state with $\varepsilon$-trans to old starts
- New final state with $\varepsilon$-trans from old finals


## $(a \mid \varepsilon)(c|d| b)^{*}$



## concat


$(c|d| b)^{*}$


## Thompson's Construction Alg. <br> Build the RegEx Tree Replace nodes bottom-up

## Alternation:

- New start state with $\varepsilon$-trans to old starts
- New final state with $\varepsilon$-trans from old finals


## $(a \mid \varepsilon)(c|d| b)^{*}$


concat

$(c|d| b)^{*}$


## Thompson's Construction Alg. <br> Build the RegEx Tree Replace nodes bottom-up

Alternation:

- New start state with $\varepsilon$-trans to old starts
- New final state with $\varepsilon$-trans from old finals



## $(a \mid \varepsilon)(c|d| b)^{*}$

concat


## Thompson's Construction Alg. <br> Build the RegEx Tree Replace nodes bottom-up

## Alternation:

- New start state with $\varepsilon$-trans to old starts
- New final state with $\varepsilon$-trans from old finals



## (a|c)(c|d|b)*

## concat


$(c|d| b)^{*}$


## Thompson's Construction Alg. <br> Build the RegEx Tree Replace nodes bottom-up

Repetition (* operator):

- New start state with


## (a|c)(c|d|b)*

$\varepsilon$-edge to old start

- New final state with $\varepsilon$-edge from new start
- $\varepsilon$-edge from final to start



## Thompson's Construction Alg. <br> Build the RegEx Tree Replace nodes bottom-up

```
Repetition (* operator ):
```

- New start state with $(a \mid \varepsilon)(c|d| b)^{*}$
$\varepsilon$-edge to old start
- New final state with


## concat

$\varepsilon$-edge from new start

- $\varepsilon$-edge from final to start



## Thompson's Construction Alg. <br> Build the RegEx Tree Replace nodes bottom-up

```
Repetition (* operator ):
```

- New start state with
$(a \mid \varepsilon)(c|d| b)^{*}$
$\varepsilon$-edge to old start
- New final state with
concat
$\varepsilon$-edge from new start
- $\varepsilon$-edge from final to start



## Thompson's Construction Alg. <br> Build the RegEx Tree Replace nodes bottom-up

## Concatenation:

- Add $\varepsilon$-edge from first FSM’s final state to second FSM's start state
- Remove final status from first FSM CONCat

$$
(a \mid \varepsilon)(c|d| b)^{*}
$$



## Thompson's Construction Alg. <br> Build the RegEx Tree Replace nodes bottom-up

## Concatenation:

- Add $\varepsilon$-edge from first FSM’s final state to second FSM's start state
- Remove final status from first FSM CONCat

$$
(a \mid \varepsilon)(c|d| b)^{*}
$$



## Thompson's Construction Alg.

Build the RegEx Tree \| Replace nodes bottom-up
$(a \mid \varepsilon)(c|d| b)^{*}$


## Thompson's Construction: Side-Note

Build the RegEx Tree \| Replace nodes bottom-up
The FSMs produced by Thompsons Construction are a little bit messy!

- Clearly less efficient than what we would do by hand
- Designed for ease of proofs
- In practice, it's easy to minimize FSMs later



## From RegEx to DFA <br> Lecture 2 - Implementing Scanners



## Eliminating $\varepsilon$-transitions

Lecture 2 - Implementing Scanners
Observation: You never see an epsilon in the input

- Consuming a character means taking a "chain" of zero-or-more $\varepsilon$-edges then a real character edge


## Algorithm Intuition: cut out the middleman

- Replace all "chains" with a direct real-character edge



## Eliminating $\varepsilon$-transitions

Lecture 2 - Implementing Scanners

- Compute $\varepsilon$-close(s), the set of states reachable via 0 or more $\varepsilon$-edges from $s$
- Copy all states from N to an $\varepsilon$-free version, $\mathrm{N}^{\prime}$
- Put $s$ in $\mathrm{F}^{\prime}$ if $\varepsilon$-close(s) contains a state in F
- Put $\mathrm{s}, \mathrm{c} \rightarrow \mathrm{t}$ in $\delta^{\prime}$ if there is a c -edge to t in $\varepsilon$-close(s)


## Example, Step I

Eliminating $\varepsilon$-Transitions
Let $\varepsilon$-close(s) be the set of states reachable via 0 or more $\varepsilon$-edges


$$
\begin{aligned}
& \varepsilon \text {-close }(S)=\{S, 1,3,6\} \\
& \varepsilon \text {-close }(3)=\{3,6\} \\
& \varepsilon \text {-close }(1)=\{1\} \\
& \varepsilon \text {-close }(2)=\{2\} \\
& \varepsilon \text {-close }(4)=\{4\} \\
& \varepsilon \text {-close }(5)=\{5\} \\
& \varepsilon \text {-close }(6)=\{6\}
\end{aligned}
$$

## Example, Step II <br> Eliminating $\varepsilon$-Transitions

Copy all states from N to $\mathrm{N}^{\prime}$


$$
\begin{aligned}
& \varepsilon \text {-close }(S)=\{S, 1,3,6\} \\
& \varepsilon \text {-close }(3)=\{3,6\} \\
& \varepsilon \text {-close }(1)=\{1\} \\
& \varepsilon \text {-close }(2)=\{2\} \\
& \varepsilon \text {-close }(4)=\{4\} \\
& \varepsilon \text {-close }(5)=\{5\} \\
& \varepsilon \text {-close }(6)=\{6\}
\end{aligned}
$$

## Example, Step III

Eliminating $\varepsilon$-Transitions
Put $\sin \mathrm{F}^{\prime}$ if $\varepsilon$-close(s) contains a state in F


$$
\begin{aligned}
& \varepsilon \text {-close }(S)=\{S, 1,3,6\} \\
& \varepsilon \text {-close }(3)=\{3,6\} \\
& \varepsilon \text {-close }(1)=\{1\} \\
& \varepsilon \text {-close }(2)=\{2\} \\
& \varepsilon \text {-close }(4)=\{4\} \\
& \varepsilon \text {-close }(5)=\{5\} \\
& \varepsilon \text {-close }(6)=\{6\}
\end{aligned}
$$



## Example, Step IV

Eliminating $\varepsilon$-Transitions
Put $\mathrm{s}, \mathrm{c} \rightarrow \mathrm{t}$ in $\delta^{\prime}$ if there is a c-edge to t in $\varepsilon$-close(s)


$$
\begin{aligned}
& \varepsilon \text {-close }(S)=\{\mathrm{S}, 13,6\} \\
& \varepsilon \text {-close }(3)=\{3,6\} \\
& \varepsilon \text {-close }(1)=\{1\} \\
& \varepsilon \text {-close }(2)=\{2\} \\
& \varepsilon \text {-close }(4)=\{4\} \\
& \varepsilon \text {-close }(5)=\{5\} \\
& \varepsilon \text {-close }(6)=\{6\}
\end{aligned}
$$



## Example, Step IV

Eliminating $\varepsilon$-Transitions
Put $\mathrm{s}, \mathrm{c} \rightarrow \mathrm{t}$ in $\delta^{\prime}$ if there is a c-edge to t in $\varepsilon$-close(s)


$$
\begin{aligned}
& \varepsilon \text {-close }(S)=\{\mathrm{S}, 1,3) 6\} \\
& \varepsilon \text {-close }(3)=\{3,6\} \\
& \varepsilon \text {-close }(1)=\{1\} \\
& \varepsilon \text {-close }(2)=\{2\} \\
& \varepsilon \text {-close }(4)=\{4\} \\
& \varepsilon \text {-close }(5)=\{5\} \\
& \varepsilon \text {-close }(6)=\{6\}
\end{aligned}
$$



## Example, Step IV

Eliminating $\varepsilon$-Transitions
Put $\mathrm{s}, \mathrm{c} \rightarrow \mathrm{t}$ in $\delta^{\prime}$ if there is a c-edge to t in $\varepsilon$-close(s)

$\varepsilon$-close $(S)=\{S, 1,3,6$
$\varepsilon$-close $(3)=\{3,6\}$
$\varepsilon$-close $(1)=\{1\}$
$\varepsilon$-close(2) $=\{2\}$
$\varepsilon$-close $(4)=\{4\}$
$\varepsilon$-close $(5)=\{5\}$
$\varepsilon$-close $(6)=\{6\}$


## Example, Step IV

Eliminating $\varepsilon$-Transitions
Put $\mathrm{s}, \mathrm{c} \rightarrow \mathrm{t}$ in $\delta^{\prime}$ if there is a c-edge to t in $\varepsilon$-close(s)
Note: this definition necessarily preserves all original non- edges


$$
\begin{aligned}
& \varepsilon \text {-close }(S)=\{S, 1,3,6\} \\
& \varepsilon \text {-close }(3)=\{3,6\} \\
& \varepsilon \text {-close }(1)=\{1\} \\
& \varepsilon \text {-close }(2)=\{2\} \\
& \varepsilon \text {-close }(4)=\{4\} \\
& \varepsilon \text {-close }(5)=\{5\} \\
& \varepsilon \text {-close }(6)=\{6\}
\end{aligned}
$$



## Example, Done! <br> Eliminating $\varepsilon$-Transitions

Can also remove unreachable "useless" state


## Example, Step IV

Eliminating $\varepsilon$-Transitions
Put $\mathrm{s}, \mathrm{c} \rightarrow \mathrm{t}$ in $\delta^{\prime}$ if there is a c-edge to t in $\varepsilon$-close(s)


From RegEx to DFA
Lecture 2 - Implementing Scanners


## Recall: NFA Matching Procedure Rabin-Scott Powerset construction

- NFA can "choose" which transition to take

- Always moves to states that leads to acceptance (if possible)
- Simulate set of states the NFA could be in
- If any state in the ending set is final, string accepted



## From Successors to Powerset DFA Rabin-Scott Powerset Construction

| $S, x=\{S, A\}$ | $A, x=\{R\}$ | $R, x=\{D\}$ | $D, x=\{ \}$ |
| :--- | :--- | :--- | :--- |
| $S, y=\{S\}$ | $A, y=\{R\}$ | $R, y=\{D\}$ | $D, y=\{ \}$ |



## From Successors to Powerset DFA Rabin-Scott Powerset Construction

| $S, x=\{S, A\}$ | $A, x=\{R\}$ | $R, x=\{D\}$ | $D, x=\{ \}$ |
| :--- | :--- | :--- | :--- |
| $S, y=\{S\}$ | $A, y=\{R\}$ | $R, y=\{D\}$ | $D, y=\{ \}$ |

$\{S, A\}, x=S, x \cup A, x$

$$
=\{S, A\} \cup\{R\}
$$

$$
=\{S, A, R\}
$$



## From Successors to Powerset DFA Rabin-Scott Powerset Construction

| $S, x=\{S, A\}$ | $A, x=\{R\}$ | $R, x=\{D\}$ | $D, x=\{ \}$ |
| :--- | :--- | :--- | :--- |
| $S, y=\{S\}$ | $A, y=\{R\}$ | $R, y=\{D\}$ | $D, y=\{ \}$ |

$$
\{S, A\}, y=S, y \cup A, y
$$

$$
=\{S\} \quad \cup\{R\}
$$

$$
=\{S, R\}
$$



## From Successors to Powerset DFA Rabin-Scott Powerset Construction

| $S, x=\{S, A\}$ | $A, x=\{R\}$ | $R, x=\{D\}$ | $D, x=\{ \}$ |
| :--- | :--- | :--- | :--- |
| $S, y=\{S\}$ | $A, y=\{R\}$ | $R, y=\{D\}$ | $D, y=\{ \}$ |



## Exponential State Count <br> Rabin-Scott Powerset Construction

- How may states might the DFA have?
- $2^{|Q|}$
- Why $2^{|Q|}$ ?

| $\underline{\mathbf{S}}$ | $\underline{\mathbf{A}}$ | $\underline{\mathbf{D}}$ |  |
| :--- | :--- | :--- | :--- |
| 0 | 0 | 0 | $\}$ |
| 0 | 0 | 1 | $\{D\}$ |
| 0 | 1 | 0 | $\{A\}$ |
| 1 | 0 | 0 | $\{S\}$ |
| 0 | 1 | 1 | $\{A, D\}$ |
| 1 | 1 | 0 | $\{S, A\}$ |
| 1 | 0 | 1 | $\{S, D\}$ |
| 1 | 1 | 1 | $\{S, A, D\}$ |




## DFA $\neq$ Tokenizer <br> Limitations

- Finite automata only check for language membership of a string (recognition)
- The Scanner needs to
- Break the input into many different tokens
- Know what characters comprise the token



## DFA $\boldsymbol{y}_{\boldsymbol{c}}$ Tokenizer <br> Limitations

- Finite automata only check for language membership of a string (recognition)
- The Scanner needs to
- Break the input into many different tokens
- Know what characters comprise the token
We need to go... beyond recognition



Lecture 3 Preview


